# Arizona E-File Validation Errors for Tax Year 2005

**Error Categories**

200 – 299    Field Format, Content and Relationship Errors

900 – 999    Fatal Errors:  Duplicate Return errors, E-File Administration and Exclusions

The following error descriptions are based upon the 2005 Tax Year Record Layout.  This signed-off error list identifies the validation checks to be included in the E-file Validation program for 2005 Tax Year.

| Error ID | Error Description | Form No. | Field # | Changes/New |
|---|---|---|---|---|
| 0200 | Each field can only contain type of data specified in record layout<br>• Non numeric value in numeric field | All Forms | All numeric fields | |
| 0201 | Field sequence numbers within an unformatted record must be valid for that record | All non 140 forms – unformatted records | All | |
| 0202 | The Record ID (Field 0010) of an unformatted record contains an invalid entry<br>• Taxpayer ID number does not match the Primary SSN (Field 0003) of tax form<br>• Schedule of form is invalid for E-file | All non 140 forms – unformatted records | 0010, 0003 | |
| **0203** | Tax Form – Incomplete **direct debit**<br>If any of the following direct debit fields are significant, all must contain valid entries: Field 0027 must contain a valid date (see error code 911). Field 0028 must contain an amount that is equal to or less than Field 0590. Direct debit indicator (Field 0024) must contain "2". Routing Transit Number (Field 0030) must contain nine numeric characters and Account Number (Field 0035) must be nonzero.  Checking Account Indicator (Field 0040) OR Savings Account Indicator (Field 0048) must equal "X" but both cannot equal "X". | All 140's | 0024, 0027, 0028, 0030, 0035, 0040, 0048 | NEW |
| **0204** | Tax Form – Incomplete **direct deposit**<br>If any of the following direct deposit fields are significant, all must contain valid entries: Direct deposit indicator (Field 0024) must contain "1". Routing Transit Number (Field 0030) must contain nine numeric characters and Account Number (Field 0035) must be nonzero.  Checking Account Indicator (Field 0040) OR Savings Account Indicator (Field 0048) must equal "X" but both cannot equal "X". | All 140's | 0024, 0030, 0035, 0040, 0048 | NEW |
| **0205** | Primary last name (Field 0060, position 1) and first name (Field 0070, position 1) are required fields. | All 140's | 0060, 0070 | **Number Change Only** |
| **0206** | Spouse last name (Field 0065, position 1), first name (Field 0070, position 18) and Spouse SSN (Field 0055) are required fields when Field 0305, position 13, filing status is:<br>1 - Married filing joint return. | All 140's | 0055, 0065, 0070, 0305 | **Number Change Only** |
| **0207** | Spouse last name (Field 0065, position 1), first name (Field 0070, position 18) and Spouse SSN (Field 0055) must be blank when Field 0305, position 13,  filing status is:<br>2 - Head of Household,<br>3- Married Filing Separate, or<br>4 – Single. | All 140's | 0055, 0065, 0070, 0305 | **Number Change Only** |

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| 0208 | Form 140EZ - Filing Status (Field 0305, position 13) can only be<br><br>1 - Married filing joint return, or<br><br>4 – Single. | 140EZ | 0305 | **Number Change Only** |
|------|------|------|------|------|
| 0209 | Forms 140, 140A, 140PY and 140NR – Filing status (Field 0305, position 13) can only be: 1 – Married filing joint return,<br><br>2 – Head of Household,<br><br>3 – Married filing separate, or<br><br>4 – Single. | 140, 104A, 140PY, 140NR | 0305 | **Number Change Only** |
| 0210 | Forms 140, 140A, 140PY and 140NR – Exemption for Age 65 or over (Field 0305, position 14) cannot be greater than 2 | 140, 104A, 140PY, 140NR | 0305 | **Number Change Only** |
| 0211 | Forms 140, 140A, 140PY and 140NR – Exemption for Blind (Field 0305, position 15) cannot be greater than 2 | 140, 104A, 140PY, 140NR | 0305 | **Number Change Only** |
| 0212 | "Date of Return" in Alphanumeric Field 2 (Field 0305, position 20) is either invalid, ex: 20050230, or a future date. | All 140's | 0305 | **Number Change Only** |
| 0213 | Forms 140, 140PY and 140NR - Standard/Itemized Deduction flag (Field 0305, position 28) must be S or I | 140, 140PY, 140NR | 0305 | **Number Change Only** |
| 0214 | Forms 140A and 140EZ –<br><br>Standard/Itemized Deduction flag (Field 0305, position 28) must be S. | 140A, 140EZ | 0305 | **Number Change Only** |
| 0215 | "Credit Boxes" in Alphanumeric Field 2 (Field 0305, position 29) contain invalid entry. "Valid entry = twelve numerals in any combination of 302, 304, 305, 306, 307, 308, 309, 310, 312, 315, 319, 320, 321, 322, 323, 325, 328, 329, 330, 331, 332 | 140, 140PY, 140NR | 0305, 0460 | **New Credit Added to Validation** |
| 0216 | "Credit Boxes" in Alphanumeric Field 2 (Field 0305, position 29) and Field 0460 (Subtotal Credits) are invalid for Forms 140A and 140EZ and may not contain any entry. | 140A, 140EZ | 0305, 0460 | **Number Change Only** |
| 0217 | Forms 140, 140PY and 140NR - Political Party entry (Field 0305, position 41) must be:<br><br>0, 1, 2, 3, or blank. | 140, 140A, 140PY, 140NR | 0305 | **Number Change Only** |
| 0218 | Forms 140, 140PY and 140NR – If Estimated Payment/MSA Penalty (Field 0580) is significant, at least one of the following flags must contain "Y":<br><br>"Form 221" Field 0305, position 44, or<br><br>"MSA Penalty" Field 0305, position 49. | 140, 140PY, 140NR | 0305, 0580 | **Number Change Only** |
| 0219 | Forms 140, 140PY and 140NR - "Annualized/Other" box (Field 0305, position 42) may not be "Y", unless "Form 221" (Field 0305, position 44) is "Y". If "Form 221" (Field 0305, position 44) is "N" or blank, then, "Annualized/Other" box (Field 0305, position 42) must be "N" or blank. | 140, 140PY, 140NR | 0305 | **Number Change Only** |
| 0220 | Forms 140, 140PY and 140NR – "Farmer/Fisherman" box (Field 0305, position 43) may not be "Y", unless "Form 221" (Field 0305, position 44) is "Y". If "Form 221" (Field 0305, position 44) is "N" or blank, then, "Farmer/Fisherman" box (Field 0305, position 43) must be "N" or blank. | 140, 140PY, 140NR | 0305 | **Number Change Only** |

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| **0221** | Forms 140, 140PY and 140NR – "Form 221" box (Field 0305) must be "Y", "N" or blank. | 140, 140PY, 140NR | 0305 | **Number Change Only** |
| **0222** | "Extension code" in Alphanumeric Field 2 (Field 0305) can only be "D", "F" or blank | All 140's | 0305 | **Number Change Only** |
| **0223** | If Clean Election Fund Tax Reduction (Field 0445) is significant, at least one the following must contain "Y": Filer "Clean Election Fund Tax Reduction" flag (Field 0305, position 46) or Spouse "Clean Election Fund Tax Reduction" flag (Field 0305, position 47). | All 140's | 0305, 0445 | **Number Change Only** |
| **0224** | Spouse "Clean Election Fund Tax Reduction" (Field 0305, position 47) is "Y" when return filing status (Field 0305, position 13) is something other than "1", Married Filing Joint Return. | All 140's | 0305 | **Number Change Only** |
| **0225** | Clean Election Fund Tax Reduction (Field 0445) cannot be other than "5" unless a joint return is filed and both elect the "Clean Election Fund Tax Reduction" in which case Field 0445 must be "10". | All 140's | 0445 | **Number Change Only** |
| **0226** | Forms 140, 140A, 140EZ - Residency Status (Field 0305) Code must be 0 – Arizona resident. | 140, 140A, 140EZ | 0305 | **Number Change Only** |
| **0227** | Form 140PY - Residency Status Code (Field 0305, position 48) must be: 1 – Part year resident, NOT active military, or 2 – Part year resident active military. | 140PY | 0305 | **Number Change Only** |
| **0228** | Form 140NR - Residency Status Code (Field 0305, position 48) must be: 1 - Nonresident, or 2 – Nonresident active military (3 – Composite return n/a for e-file) | 140NR | 0305 | **Number Change and New Resident Status Code Added** |
| **0229** | Forms 140, 140NR and 140PY - MSA Penalty Box (Field 0305, position 49) must be "Y", "N" or blank | 140, 140NR and 140PY | 0305 | **Number Change Only** |
| **0230** | Form 140A - Std deduction (Field 0410) must be $4125 or $8250 | 140A | 0410 | **Number Change Only** |
| **0231** | Form 140EZ - Total deduction and personal exemption (Field 0420 must be $6225 or $12450 | 140EZ | 0420 | **Number Change Only** |
| **0232** | "Tax Minus Credits" (Field 0465) cannot be less than zero | 140A | 0465 | **Number Change Only** |
| **0233** | "Balance of Tax" (Field 0475) cannot be less than zero | All 140's | 0475 | **Number Change Only** |
| **0234** | Primary SSN (Field 0003) was used as a Secondary SSN, or Secondary SSN (Field 0055) was used as a Primary SSN, on an accepted E-file return with filing status other than 1 – Married Filing Joint Return. | All 140's | 0003, 0055, 0305 | **Number Change Only** |
| **0235** | Primary SSN (Field 0003) was used as a Secondary SSN, or Secondary SSN (Field 0055) was used as a Primary SSN, on another return is in process by AZ DOR. | All 140's | 0003, 0055 | **Number Change Only** |
| **0236** | Telephone number provided is not in required format (XXX-XXX-XXXX) | All 140's | 0115 | **Number Change Only** |
| **0237** | Forms 140, 140A, 140PY and 140NR – If Field 0370 is significant then "Exemption for Age 65 or over" (Field 0305, position 14) cannot be blank. | 140, 104A, 140PY, 140NR | 0305, 0370 | **Number Change Only** |

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| 0238 | Forms 140, 140A, 140PY and 140NR –<br><br>If field 0370 = 2100, then Field 0315, position 63-70 or Field 0305, position 71-78 must ≤ 19401231. If Field 0370 = 4200, then Field 0315, position 63-70 **and** Field 0305, position 71-78 must ≤ 19401231 (valid only for filing status 1, MFJ). | 140, 104A, 140PY, 140NR | 0315, 0370 | **Number Change Only** |
|---|---|---|---|---|
| 0239 | Forms 140, 140A, 140PY and 140NR –<br><br>If Field 0375 is significant then "Exemption for Blind" (Field 0305, position 15) cannot be blank. | 140, 104A, 140PY, 140NR | 0305, 0375 | **Number Change Only** |
| 0900 | Primary SSN (Field 0003) was used as a Primary SSN more than once on E-filed returns. | All 140's | 0003 | |
| 0901 | Secondary SSN (Field 0055) was used as a Secondary SSN more than once on E-filed returns. | All 140's | 0055 | |
| 0902 | Primary SSN (Field 0003) was used as a Secondary SSN, or Secondary SSN (Field 0055) was used as a Primary SSN, on an accepted E-file return with filing status 1- Married Filing Joint Return. | All 140's | 0003, 0055, 0305 | |
| 0903 | Return from "turned off" ERO | n/a | 0023 | |
| 0904 | Return from unknown software developer | n/a | 0300 | |
| 0905 | Return from "turned off" software developer | n/a | 0300 | |
| 0906 | Tax Form – "Tax Year Ending" can only be 2005 (Field 0305, position 01-04) and "Tax Month Ending" must be 12 (Field 0305, position 05-06) | All 140's | 0305 | |
| 0907 | E-Signatures:<br><br>If the federal pin is used to sign the AZ return, then Field 0305, position 59 is Y and the federal pin must be displayed in field(s) 0120 and/or 0125.<br><br>If not signing using the federal pin, then Field 0305, position 59 is N and Fields 0120 and 0125 are blank.<br><br>If Field 0305, position 59 is N, and the PIN is in either Field 0120 or 0125 it would be an invalid e-signature. | ALL 140's | 0305, 0120, 0125 | |
| 0908 | Forms 140A and 140EZ - AZ Taxable Income (Field 0425) must be ≤ $50,000 | 140A, 140EZ | 0425 | |
| 0909 | Forms 140 and 140A - Property Tax Credit (Field 0500) must be zero. E-file not permitted if claiming property tax credit. | 140, 140A | 0500 | |
| 0911 | When Direct Debit Payment is indicated (Field 0028):<br><br>For tax returns with a date of return (Field 0305, position 20-27) on or before April 15 of the current processing year, the requested Electronic Funds Withdrawal Date (Field 0027) cannot be later than April 15.<br><br>-or-<br><br>For returns filed after April 15, the direct debit date must be equal to the date of the return. Future dates are not accepted after April 15. | All 140's | 0027, 0028 | |
| 0912 | If Form 131 Flag (Field 0315, Position 79) is "P" or "S", indicating that a Form 131 is attached, the tax return filing status must be:<br><br>"Married Filing Joint" (Field 0305, Position 13 = "1") | All 140's | 0305, 0315 | |

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|---|---|---|---|---|
| 0913 | Form 131 – Form is incomplete or contains invalid entries. | 131<br>All 140's | 0025, 0062, 0068 | |
| 0916 | Aid to Education Donation must be equal to amount of refund (Field 535). | 140, 140A 140NR, 140PY | 530 | |
| 0917 | Form 140, 140A, 140NR, and 140PY Filler (Fields 0575 and 0600) must be blank. | 140, 140A 140NR, 140PY | 0575 0600 | |
| 0918 | E-Signature – State-Only<br>If State-Only Return (Field 0019) is indicated then Fields 0120 and 0125 and 0305 (position 59) are blank. | All 140's | 0019, 0120, 0125, 0305 | |
| | E-Signature –<br>If filing status is (2, 3, or 4) then Field 0125 "Secondary TP Signature" must be blank. | All 140's | 0305, Position 13<br>0125 | |
| 0919 | Primary "Date of Birth" is incomplete or missing. | All 140's | 0315, Position 63-70 | |
| 0920 | Secondary "Date of Birth" is incomplete or missing.<br>Must be present when MFJ | All 140's | 0305, Position 13<br>0315, Position 71-78 | |
| **0921** | When "Subtotal of Credits" is greater than zero, at least one valid, nonblank, nonzero form number is required, "Valid Credit Forms are: 302, 304, 305, 306, 307, 308, 309, 310, 312, 315, 319, 320, 321, 322, 323, 325, 328, 329, 330, 331, **332**. | 140 Generic | 0305, 0460 | **New Credit Added to Validation** |
| 0922 | When Filing Status = 3, Married filing separate (Field 0305, position 13), all of the following must be present:<br>"Spouse's Full Name" (Field 0325, position 44-68)<br>"Spouse SSN" (Field 0325, position 69-77)<br>"Community Property Allocation Indicator" (Field 0325, position 78) must be "Y"; and<br>Copy of federal ALLOCR must be transmitted. | 140, 140NR and 140PY | 0305, 0325 | |
| 0999 | AZ DOR decision to reject return due to one or more unresolved error conditions. | n/a | n/a | |